STATE OF MISSOURI)
COUNTY OF PETTIS)

I, SUSAN SADLER, CLERK OF THE CIRCUIT COURT WITHIN AND FOR THE COUNTY OF PETTIS AND STATE OF MISSOURI, HEREBY CERTIFY THE FOREGOING TO BE A FULL, TRUE AND CORRECT COPY OF THE FOLLOWING:

CASE #: 10PT-AC00238 CASE CAPTION: STEPHEN KELLY ETAL V UTC FIRE &SECURITY

ON THIS 11H DAY OF JANUARY, 2011, AS FULLY AS THE SAME APPEARS OF RECORD IN MY OFFICE.

- 1. PETITION
- 2. SUMMONS ISSED TO UTC FIRE & SECURITY
- 3. SUMMONS ISSUED TO KIDDE-FENWAL INC
- 4. ENTRY OF APPEARANCE DANIEL DEFEO
- 5. FIRST AMENDED PETITION
- 6. SUMMONS ISSUED TO UTC FIRE & SECURITY
- 7. SUMMONS ISSUED TO KIDDE-FENWAL INC
- 8. SUMMONS ISSUED TO UNDERWRITERS LABORATORIES INC.
- 9. SUMMONS RETURN FOR UTC FIRE & SECURITY 11/19/10
- 10. SUMMONS RETURN FOR KIDDE-FENWAL INC 11/19/10
- 11. SUMMONS RETURN FOR KIDDE-FENWAL, INC 12/6/10
- 12. SUMMONS RETURN FOR UTC FIRE & SECURITY 12/6/10
- 13. ANSWER FILED BY DANIEL DEFEO FOR HAROLD PRESTON
- 14. CROSS CLAIM FILED BY DANIEL DEFEO FOR HAROLD PRESTON
- 15. SUMMONS RETURN FOR UNDERWRITERS LABORATORIES INC 1/3/11
- 16. DOCKET SHEET

WITNESS MY HAND AS CLERK AND HERETO AFFIXED THE OFFICIAL SEAL OF SAID COURT. DONE AT MY OFFICE IN SEDALIA, MISSOURI THIS DATE.

SEAL

SUSAN SADTER, CIRCUIT CLERK

DEDUTY CLEDY

DEPUTY CLERK

Report: CZR0026

18TH JUDICIAL CIRCUIT
CIRCUIT DIVISION PETTIS
CIRCUIT COURT DOCKET SHEET

Date:

20-Dec-2010

Time: Page: 4:03:24PM

10PT-CC00238

STEPHEN KELLY ETAL V UTC FIRE & SEC

Security Level: 1 Public

AMER COR ETAL

Case Type:

CC Pers Injury-Prod Liab

Case Filing Date:

05-Nov-2010

Status:

Pet Filed in Circuit Ct

Disposition:

Disposition Date:

Release/Status Reason

Change Date

Judge Plaintiff ROBERT L. KOFFMAN (30200) STEPHEN KELLY (KELS*8883)

Attorney for Plaintiff

ADAM WESLEY GRAVES(55190)

Plaintiff

CHARLOTTE KELLY (KELC*7069)

Attorney for Plaintiff Defendant

ADAM WESLEY GRAVES(55190)
UTC FIRE & SECURITY AMERICAS

CORPORATION INC (UTCFIRE)
KIDDE-FENWAL INC (KIDDEFENW)

HAROLD PRESTON (@42498)

Attorney for Defendant

Defendant

DANIEL TIMOTHY DEFEO(35161)

UNDERWRITERS LABORATORIES INC

(UNDERWRIT)

Filing Date

Description

04-Nov-2010

Judge Assigned

Attorney requests no service on Harold Preston. No summons issued at this time for UTC Fire or Kidde-Fenwal as attorney stated they would send a postage paid envelope made out to St Louis Sheriff for service. (7)

Pet Filed in Circuit Ct

Confid Filing Info Sheet Filed

08-Nov-2010

Summons Issued-Circuit

Document ID: 10-SMCC-723, for UTC FIRE & SECURITY AMERICAS CORPORATION INC.

Summons together with Petition and attorney's check to St. Louis County Sheriff.

Service/Attempt Date: 15-Nov-2010

Summons Issued-Circuit

Document ID: 10-SMCC-724, for KIDDE-FENWAL INC. Summons together with Petition and

attorney's check to St. Louis County Sheriff.

Service/Attempt Date: 15-Nov-2010

15-Nov-2010

Entry of Appearance Filed

Filed By: DANIEL T DEFEO

18-Nov-2010

Amended Motion/Petition Filed FIRST AMENDED PETITION /3

Filed By: ADAM W GRAVES

Summons Issued-Circuit

Document ID: 10-SMCC-755, for UTC FIRE & SECURITY AMERICAS CORPORATION INC. Summons together with copy of First Amended Petition and Check #16994 sent to St Louis County

Sheriff for service. /3

Service/Attempt Date: 29-Nov-2010

Report: CZR0026

18TH JUDICIAL CIRCUIT CIRCUIT DIVISION PETTIS CIRCUIT COURT DOCKET SHEET

JIT DIVISION PETTIS Ti

Date: Time: 06-Jan-2011 9:18:04AM

Page:

2

Case continued from previous page.

10PT-CC00238 STEPHEN KELLY ETAL V UTC FIRE & SEC Security Level: 1 Public AMER COR ETAL

18-Nov-2010 Summons Issued-Circuit

Document ID: 10-SMCC-756, for KIDDE-FENWAL INC. Summons together with copy of First Amended Petition and Check #16995 sent to St Louis County Sheriff for service. /3 Service/Attempt Date: 29-Nov-2010

Summons Issued-Circuit

Document ID: 10-SMCC-757, for UNDERWRITERS LABORATORIES INC. Summons together with copy of First Amended Petition and Check #16992 sent to Cole County Sheriff for service. /3 Service/Attempt Date: 15-Dec-2010

19-Nov-2010 Summons Personally Served

Document ID - 10-SMCC-723; Served To - UTC FIRE & SECURITY AMERICAS CORPORATION INC; Server - SO ST LOUIS COUNTY-CLAYTON; Served Date - 15-NOV-10; Served Time - 00:00:00; Service Type - Sheriff Department; Reason Description - Served

Summons Personally Served

Document ID - 10-SMCC-724; Served To - KIDDE-FENWAL INC; Server - SO ST LOUIS COUNTY-CLAYTON; Served Date - 15-NOV-10; Served Time - 00:00:00; Service Type - Sheriff Department; Reason Description - Served

06-Dec-2010 Agent Served

Document ID - 10-SMCC-756; Served To - KIDDE-FENWAL INC; Server - SO ST LOUIS COUNTY-CLAYTON; Served Date - 29-NOV-10; Served Time - 00:00:00; Service Type - Sheriff Department; Reason Description - Served

Agent Served

Document ID - 10-SMCC-755; Served To - UTC FIRE & SECURITY AMERICAS CORPORATION INC; Server - SO ST LOUIS COUNTY-CLAYTON; Served Date - 29-NOV-10; Served Time - 00:00:00; Service Type - Sheriff Department; Reason Description - Served

20-Dec-2010 Answer Filed

Filed By: DANIEL T DEFEO

Cross Claim Filed

Filed By: DANIEL T DEFEO

03-Jan-2011 Agent Served

Document ID - 10-SMCC-757; Served To - UNDERWRITERS LABORATORIES INC; Server - SO COLE COUNTY-JEFFERSON CITY; Served Date - 15-DEC-10; Served Time - 09:05:00; Service Type - Sheriff Department; Reason Description - Served

SUSAN SADLEK PETTIS COUNTY CIRCUIT CLERK

415 SOUTH OHIO, SUITE 324 SEDALIA, MISSOURI 65301

PHONE: 660-826-5000 EXT. 926 FAX: 660-826-4520

FACSIMILE TRANSMIT	TAL SHEET
TO: Edward White (X33558)	FROM: Alecia Farkas, Deputy Clerk (660) 826-5000 (x 455)
COMPANY:	date: 1/6/2011
fax number: (816) 421-5547	TOTAL NO. OF PAGES INCLUDING COVER:
re: Stephen Kelly et al. v. UTC Fire& Security Americas Corp. et al.	CASE NO.: 10PT-CC00238
☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT	□ please reply □ please recycle
NOTES/COMMENTS:	

Following please find the First Amended Petition you requested. The copy cost for sending documents via facsimile is \$2.00 for the first page and \$1.00 for each additional page (excluding the cover page). Please remit \$11.00 to the above address for the cost of the petition.

Thank you. Please let us know if you need anything additional.

This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the above-named sender immediately by telephone, and return the original message to the sender at the above address via the U.S. Postal Service. Thank you.

SUSAN SADLER PETTIS COUNTY CIRCUIT CLERK

415 SOUTH OHIO, SUITE 324 SEDALIA, MISSOURI 65301

PHONE: 660-826-5000 EXT. 926 FAX: 660-826-4520

TO: Edward White	from: Alecia Farkas, Deputy Clerk
(x33558)	(660) 826-5000 (x 455)
COMPANY: Attorney at Law	DATE: 1/6/2011
fax number: (816) 421-5547	TOTAL NO. OF PAGES INCLUDING COVER:
RE: Stephen Kelly et al. v. UTC Fire & Security Americas Corp et al.	CASE NO.: 10PT-CC00238
☐ URGENT ☐ FOR REVIEW ☐ PLEASE COMMENT	

Following please find the Petition you requested. The copy cost for sending documents via facsimile is \$2.00 for the first page and \$1.00 for each additional page (excluding the cover page). Please remit \$10.00 to the above address for the cost of the petition.

Thank you. Please let us know if you need anything additional.

This message is intended only for the use of the individual or entity to which it is addressed, and may contain information that is privileged, confidential, and exempt from disclosure under applicable law. If the reader of this message is not the intended recipient, or the employee or agent responsible for delivering the message to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this communication is strictly prohibited. If you have received this communication in error, please notify the above-named sender immediately by telephone, and return the original message to the sender at the above address via the U.S. Postal Service. Thank you.



Total

suits, see Supreme Court Rule 54.

IN THE 18TH JUDICIAL CIRCUIT COURT, PETTIS COUNTY, MISSOURI

Judge or Division:	Case Number: 10PT-CC00238
ROBERT L. KOFFMAN	
Plaintiff/Petitioner:	Plaintiff's/Petitioner's Attorney/Address
STEPHEN KELLY	ADAM WESLEY GRAVES
	911 MAIN STREET
	P O BOX 220
vs.	LEXINGTON, MO 64067
Defendant/Respondent:	Court Address:
UTC FIRE & SECURITY AMERICAS	Court Address: 415 S. Ohio Return (Iduum)
CORPORATION INC	Sedalia, MO 65301
Nature of Suit:	
COD III D IIII	

CC Pers Injury-Prod Liab (Date File Stamp) **Summons in Civil Case** The State of Missouri to: UNDERWRITERS LABORATORIES INC 0/0 National Registered Agents, Reg Agt. **JEFFERSON CITY, MO 65101** COURT SEAL OF You are summoned to appear before this court and to file your pleading to the petition, a copy of which is attached, and to serve a copy of your pleading upon the attorney for Plaintiff/Petitioner at the above address all within 30 days after receiving this summons, exclusive of the day of service. If you fail to file your pleading, judgment by default may be taken against you for the relief demanded in the petition. PETTIS COUNTY Further Information: DEC 09 2010 Sheriff's or Server's Return Note to serving officer: Summons should be returned to the court within thirty days after the date of issue. I certify that I have served the above summons by: (check one) delivering a copy of the summons and a copy of the petition to the Defendant/Respondent. leaving a copy of the summons and a copy of the petition at the dwelling place or usual abode of the Defendant/Respondent with a person of the Defendant's/Respondent's family lower the lage of 15 years. (for service on a corporation) delivering a copy of the summons and a copy of the petition to (title). oll /City of St. Louis), MO, on of Sheriff or Server Signature of Sheriff or Serve Must be sworn before a notary public if not served by an authorized officer: Subscribed and sworn to before me on (Seal) My commission expires: Notary Publi Sheriff's Fees Summons Non Est Sheriff's Deputy Salary Supplemental Surcharge Mileage __ per mile) miles @ \$.

A copy of the summons and a copy of the petition must be served on each Defendant/Respondent. For methods of service on all classes of

IN THE CIRCUIT COURT OF PE	ETTIS COUNTY, MIS COURT DEC 2 0 2010	
STEPHEN KELLY, et. al,	SUSAN SADLEI CLERK CIRCUIT CO	2
Plaintiffs) CLERK CIRCUIT CO	ÜRT
vs.) Case No.: 10PT-CC0238	
UTC Fire & Security Americas Corporation, Inc., et. al,)))	
)	

)

ANSWER, AFFIRMATIVE DEFENSES AND CROSS-CLAIMS OF DEFENDANT HAROLD PRESTON

COMES NOW Defendant, Harold Preston, through his counsel, and for his answer, and affirmative defenses to Plaintiffs' Petition states as follows:

1. Admit.

Defendants.

- 2. Defendant has insufficient information to admit or deny the allegation, and therefore, denies.
 - 3. Admit.
 - 4. Admit.
 - 5. Admit.
 - 6. 14. The allegations are not directed to Defendant, Harold Preston, but after investigation, Defendant believes the allegations to be true and thus, admits.
 - 15. Admit
 - 16. Defendant incorporates by reference his answers to paragraphs 1-15, above.
 - 17. Admit.
 - 18. Admit.
 - 19. Admit.
 - 20. Admit.

21. Admit.

<u>COUNT I - NEGLIGENCE</u> <u>AGAINST DEFENDANTS KIDDE, UTC AND UL</u>

- 22. Defendant incorporates by reference his answers to paragraphs 1-21, above.
- 23 27. The allegations are not directed to Defendant, Harold Preston, but after investigation, Defendant believes the allegations to be true and thus, admits.

<u>COUNT II - STRICT LIABILITY</u> <u>AGAINST DEFENDANTS KIDDE, UTC AND UL</u>

- 28. Defendant incorporates by reference his answers to paragraphs 1-27, above.
- 29 34. The allegations are not directed to Defendant, Harold Preston, but after investigation, Defendant believes the allegations to be true and thus, admits.

COUNT III - NEGLIGENCE AGAINST DEFENDANT HAROLD PRESTON

- 35. Defendant incorporates by reference his answers to paragraphs 1-34, above.
- 36. Defendant admits that he installed the locks on Nicole Ortner's home, the remainder of the paragraph is denied.
- 37. The allegation contains a legal conclusion and no response is necessary. To the extent that a response is required, Defendant denies.
 - 38. Deny.
 - 39. Deny.
 - 40. Deny.

COUNT IV – LOSS OF SERVICES, COMPANIONSHIP, COMFORT, INSTRUCTION, GUIDANCE, COUNSEL AND TRAINING AGAINST ALL DEFENDANTS

41. Defendant incorporates by reference his answers to paragraphs 1-40, above.

- 42. To the extent that this allegation applies to Defendant Harold Prestion, it is denied.
- 43. Defendant denies that he contributed to the wrongful death of the decedent. The remainder of the paragraph is admitted.

WHEREFORE, Defendant, having fully answered Plaintiffs' Petition for Damages, prays that the Court enter judgment in his favor and against Plaintiffs for the costs of this action, and for such other relief that the Court deems appropriate and just.

AFFIRMATIVE DEFENSES

First Affirmative Defense

Plaintiffs' Count III fails to state a claim upon which relief can be granted.

Second Affirmative Defense

Plaintiffs' claims against Defendant Harold Pretson are barred by the doctrine of laches.

Third Affirmative Defense

Plaintiffs' injuries were caused by the negligent conduct of parties other than Harold Preston, and for whose conduct, Harold Preston is not responsible. Such conduct was a superseding and/or intervening cause of the accident.

Fourth Affirmative Defense

The locks installed by Harold Preston were an open and obvious risk, of which Plaintiffs' decedent should have been aware, and/or which she accepted, consented to and assumed.

COMES NOW Defendant, Harold Preston, Individually, and as the Natural Father of Stephen and Nicholas Preston, deceased, and for his cross claims against Defendants UTC Fire & Security Americas Corporation, Inc., Kidde-Fenwal, Inc., and Underwriters Laboratories, Inc., alleges and avers as follows:

Jurisdiction and Venue

- Defendant Harold Preston is the Natural Father of Stephen Preston and Nicholas Preston.
- 2. On or about November 24, 2007, a fire started at 2508 N. Woodlawn Drive, Sedalia, Pettis County, Missouri, in which Stephen and Nicholas Preston occupied with their mother, Nicole Ortner. Both Stephen and Nicholas were killed in the fire.
- 3. Defendant UTC Fire & Security Corporation is a corporation organized under the laws of Delaware. UTC does business in the state Missouri under its subsidy company, Kidde, a corporation organized under the laws of Delaware. Defendant UTC, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.
- 4. Defendant Kidde is a corporation organized under the laws of Delaware. Kidde is owned by its parent company UTC. Defendant Kidde, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.
- 5. Defendant Kidde and its parent company UTC design, manufacture, advertise, assemble, distribute, market and sell smoke detectors.

- 6. Upon information and belief, Defendant Kidde and UTC regularly conduct business in Pettis County, Missouri. Not by limitation, but by means of illustration, the Corporate Defendants' activities in Pettis County include:
 - a. Selling products;
 - b. Forming and performing contracts;
 - c. Servicing and maintaining;
 - d. Testing equipment;
 - e. Selling replacement parts;
 - f. Selling safety equipment;
 - g. Advertizing to Pettis County businesses and residents; and
 - h. Supplying and transporting products to Pettis County's businesses and residents.
- 7. Defendant UL is a not-for-profit corporation organized under the laws of Delaware. Defendant UL, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with National Registered Agents, Inc., 300-B East High Street, Jefferson City, MO 65101.
- 8. Defendant UL is a tester, designer, advertiser, distributor and marketer of smoke detectors.
- 9. By registering to conduct business in the State of Missouri, Defendants UTC and Kidde have consented to *in personam* jurisdiction in the State.
- 10. Because Defendants UTC, Kidde and UL have continually and systematically conducted business in the County of Pettis and the State of Missouri, this Court has general, *in personam* jurisdiction over Defendants.

- 11. Defendants UTC, Kidde and UL have committed a tort within the State of Missouri by designing, manufacturing, advertising, assembling, distributing, marketing and/or selling smoke detectors in a defective, unsafe and unreasonably dangerous.
- 12. This Court has long-arm jurisdiction over Defendants pursuant to § 506.500.1(1)-(3) R.S.Mo. (2010).
- 13. Because the injuries complained of herein first occurred in Pettis County, venue is proper pursuant to § 508.010.4 R.S. Mo. (2010).

Facts Common to All Counts

- 14. The proceeding paragraphs are hereby incorporated by reference as if pled.
- 15. On or about November 24, 2007, a fire started in the home occupied by Nicole Ortner and Defendant Preston's decedents, Stephen and Nicholas Preston. Said home is located at 2508 N. Woodlawn Dr., Sedalia, Missouri (hereinafter "home").
- 16. Kidde smoke detectors were installed in the home, and each carried a model number of 0914. Said detectors were functional at the time and place of said fire.
- 17. Said smoke detectors were battery operated and each and every smoke detector had batteries that were charged and functional at the time and place of said fire.
- 18. The said smoke detectors were designed, developed, manufactured, assembled, installed, distributed, marketed, sold and/or offered for sale as new by Defendants Kidde and UTC and were in the substantially the same condition as when manufactured.
- 19. The subject smoke detectors were supplied with only an ionization detection mechanism or device.

<u>COUNT I - NEGLIGENCE</u> <u>AGAINST DEFENDANTS KIDDE, UTC AND UL</u>

- 20. The proceeding paragraphs are hereby incorporated by reference as if pled.
- 21. Defendants UTC, Kidde and UL owed Defendant Preston and his decedents a duty to Case 2:11-cv-04017-NKL Document 1-3 Filed 01/13/11 Page 12 of 49

design, manufacture, produce, distribute, market, furnish, and sell a reasonably safe smoke detector.

- 22. Defendants owed Defendant Preston and his decedents a duty to warn of the dangers conditions associated with use of smoke detectors supplied only with an ionization detection mechanism or device, as such dangers were known or could have been known by Defendants.
- 23. Defendants breached their aforementioned duties and were careless, negligent, reckless, willful, and/or wanton in one or more of the following acts or omissions, which also rendered the subject smoke detectors defective and unreasonably dangerous:
 - a. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the smoke detectors did not include a dual ionization and photoelectric device or mechanism;
 - b. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the smoke detectors were not reasonably fit, suitable or safe for their intended and represented purpose;
 - c. Defendants failed to adequately test the subject smoke detectors
 - d. Defendants failed to warn consumers of a known dangerous defect or condition in said smoke detectors, i.e. that said smoke detectors would only detect and/or timely detect certain types of residential fires and would not detect and/or timely detect certain types of fires known to commonly occur in residences.
 - e. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the subject smoke detectors lacked adequate instructions on proper and safe use;
 - f. Defendants concealed the known dangers associated with said smoke detectors; and
 - g. Defendants failed to recall said smoke detectors, or alternatively, to warn consumers of dangers precipitated by using smoke detectors that contain only ionization detection mechanisms or devices.
- 24. As a proximate result and consequence of the aforesaid negligence of Defendants, Nicholas and Stephen Preston died.
- 25. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn

injuries to Nicholas and Stephen Preston, including their impending fear of death, and their eventual wrongful death.

WHEREFORE, Defendant Preston demands judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

<u>COUNT II - STRICT LIABILITY</u> AGAINST DEFENDANTS KIDDE, UTC AND UL

- 26. The proceeding paragraphs are hereby incorporated by reference as if pled.
- The subject smoke detectors were designed, developed, manufactured, assembled, installed, distributed, marketed, sold and/or offered for sale as new products by Defendants Kidde, UTC and UL, and were in substantially the same condition as when manufactured, designed, advertised, marketed and distributed to the decedents.
- 28. The subject smoke detectors were supplied with only an ionization detection mechanism or device which defendants knew at the time of their manufacture, advertising, marketing and distribution for use by members of the general public in Missouri, including the decedents, would not detect and/or timely detect certain type fires, such as the fire which is the subject of this suit.
- 29. The subject smoke detectors were defective and unreasonably dangerous, in that the product contained no warnings and/or contained inadequate warnings as to the dangers and risks inherent in their use.
- 30. The subject smoke detectors were defective, unsafe and unreasonably dangerous for their foreseeable use at the time of their design, manufacture, advertising and

distribution for use by members of the general public of Missouri, including the decedent, in that the said smoke detectors did not contain a dual or combination photoelectric and ionization mechanisms that would detect and/or timely detect all types of house fires, including the type which is the subject of this suit.

- 31. As a proximate result and consequence of the Defendants' actions, Nicholas and Steven Preston died.
- 32. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicholas and Stephen Preston, including their impending fear of death, and their eventual wrongful death.

WHEREFORE, Defendant Preston demands judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

COUNT III – LOSS OF SERVICES, COMPANIONSHIP, COMFORT, INSTRUCTION, GUIDANCE, COUNSEL AND TRAINING AGAINST DEFENDANTS KIDDE SMOKE ALARMS, UTC FIRE & SECURITY SERVICES CORPORATION AND UNDERWRITERS LABORATORIES INC.

- 33. The proceeding paragraphs are hereby incorporated by reference as if pled.
- 34. As a direct and proximate result of one or more of the defects and/or negligent acts or omissions of defendants, suffered conscious pain and suffering prior to their deaths on November 24, 2007.
- 35. Harold Preston left surviving decedents as their heirs, has suffered severe pecuniary loss, and loss of services, companionship, comfort, instruction, guidance, counsel, and training, as a direct result of the wrongful death of his sons.

WHEREFORE, Defendant Preston demands judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

Respectfully Submitted,

THE DEFEO LAW FIRM, P.C.

Dániel T. DeFeo

MO #35161

Angela G. Cahill

MO #52830

Robert E. Gordon

MO #61607

924 Main

Lexington, MO 64067

Telephone: (660)259-9806 Facsimile: (660)259-9809 ddefeo@defeolaw.com

<u>acahill@defeolaw.com</u> rgordon@defeolaw.com

CERTIFICATE OF MAILING

I hereby certify that a copy of the foregoing was sent via facsimile, e-mail or mailed, postage prepaid on this 17th day of December, 2010 to:

Adam Graves 911 Main Street Lexington, MO 64067

UTC Fire & Security Americas Corporation, Inc. Registered Agent:
CT Corporation System
120 South Central Avenue
Clayton, MO 63105

Kidde-Fenwal, Inc. Registered Agent: CT Corporation System 120 South Central Avenue Clayton, MO 63105

Attorneys for Defendant-Harold Preston

J.	Very.	డి.
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16.65		7

IN THE 18TH JUDICIAL CIRC	uit court, Pettis county, Missouri
Judge or Division: ROBERT L. KOFFMAN	Case Number: 10PT-CC00238
Plaintiff/Petitioner: STEPHEN KELLY	Plaintiff's/Petitioner's Attorney/Address ADAM WESLEY GRAVES 911 MAIN STREET P O BOX 220 LEXINGTON, MO 64067
vs. Defendant/Respondent: UTC FIRE & SECURITY AMERICAS CORPORATION INC Nature of Suit:	Court Address: 415 S. Ohio Sedalia, MO 65301
CC Pers Injury-Prod Liab	(Date File Stamp)
Sı	immons in Civil Case
The State of Missouri to: UTC FIRE & SECURI Alias: CT CORPORATION SYSTEM 120 SOUTH CENTRAL AVENUE CLAYTON, MO 63105 COURT SEAL OF	TY AMERICAS CORPORATION INC
which is attached, and above address all within	to serve a copy of your pleading upon the attorney for Plaintiff/Petitioner at the in 30 days after receiving this summons, exclusive of the day of service. If you fail to gment by default may be taken against you for the relief demanded in the petition. Clerk
	Sheriff's or Server's Return
	petition to the Defendant/Respondent. WOV NO 20 WIO petition at the dwelling place or usual abode of the Defendant/Respondent with I a person of the Defendant's Resploydent's family over the age of 15 years. The summons and a copy of the petition to S. Central Ave., I served 1 000' C (hane) within an the defendant person by (title).
other	REPORTED A CODY TO OT CARP THE CARP ON
Served at	uno regionarea agent cline defendant, by leaving (address)
in (County/City o	f St. Louis), MO, on Love, E. Cirpo, Moelingte) at (time).
Printed Name of Sheriff or Server Must be sworn before a n Subscribed and sworn to be (Seal) My commission expires:	2000 Web at 1
Sheriff's Fees Summons \$ Non Est \$ Sheriff's Deputy Salary Supplemental Surcharge \$(DEC - 6 2010 S C T) miles @ \$per mile) Notary Public

A copy of the summons and a copy of the petition must be served on each Defendant/Respondent. For methods of service on all classes of

suits, see Supreme Court Rule 54.



Refurn

The second secon	1001111111 16915	
Judge or Division: ROBERT L. KOFFMAN	Case Number: 10PT-CC00238 CT 120 S. Centre	a WB
Plaintiff/Petitioner:	Plaintiff's/Petitioner's Attorney/Address	12:18-10
STEPHEN KELLY	ADAM WESLEY GRAVES	10.12-10
STEITIEN REELT	911 MAIN STREET	121000
	P O BOX 220	
v	s. LEXINGTON, MO 64067	
Defendant/Respondent:	Court Address:	
UTC FIRE & SECURITY AMERICAS	415 S. Ohio	
CORPORATION INC	Sedalia, MO 65301	
Nature of Suit:		
CC Pers Injury-Prod Liab		(Date File Stamp)
	Summons in Civil Case	
The State of Missouri to: KIDDE-FENWAL I	INC	
Alias:		
CT CORPORATION 120 SOUTH CENTRAL AVENUE 20		
120 SOUTH CENTRAL AVENUE CLAYTON, MO 63105)v2	
	oned to appear before this court and to file your pleading to	
which is attached, a	nd to serve a copy of your pleading upon the attorney for Pl	aintiff/Petitioner at the
above address all wi	ithin 30 days after receiving this summons, exclusive of the	lay of service. If you fail to
(CU COSCION IS)	udgment by default may be taken against you for the relief o	lemanded in the petition.
	Justa Vader	Ly JURIL Dinnie
Date	Clerk	/ ' '
PETTIS COUNTY Further Information:		
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Printed Name of Sheriff or Server Jim Bu	Ickles Signature of Sheri	il orserver
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Sheriff's Deputy Salary		State 1
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suits see Supreme Court Rule 54		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1

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Judge or Division: Case Number: 10PT-CC00238 ROBERT L. KOFFMAN Plaintiff/Petitioner: Plaintiff's/Petitioner's Attorney/Address STEPHEN KELLY ADAM WESLEY GRAVES 911 MAIN STREET P O BOX 220 LEXINGTON, MO 64067 vs. Court Address: Defendant/Respondent: 415 S. Ohio **UTC FIRE & SECURITY AMERICAS** Sedalia, MO 65301 CORPORATION INC Nature of Suit:

CC Pers Injury-Prod Liab (Date File Stamp) **Summons in Civil Case** The State of Missouri to: KIDDE-FENWAL INC CT CORPORATION 30 CTCOR 120 SOUTH CENTRAL AVENUE CLAYTON, MO 63105 COURT SEAL OF You are summoned to appear before this court and to file your pleading to the petition, a copy of which is attached, and to serve a copy of your pleading upon the attorney for Plaintiff/Petitioner at the above address all within 30 days after receiving this summons, exclusive of the day of service. If you fail to file your pleading, judgment by default may be taken against you for the relief demanded in the petition. 14864D Clerk PETTIS COUNTY Further Information: Sheriff's or Server's Return Note to serving officer: Summons should be returned to the court within thirty days after the date of issue. I certify that I have served the above summons by: (check one) leaving a copy of the summons and a copy of the petition at the dwelling place or usual abode of the Defendant/Respondent with delivering a copy of the summons and a copy of the petition to the Defendant/Respondent. a person of the Defendant of the north of this datae age of 15 years (for service on a corporation) delivering a copy of the summons and a copy of the petition to the summons and a copy of the petition to the summons and a copy of the petition to the summons and a copy of the petition to the summons and a copy of the petition to the summons and a copy of the summons and a copy of the petition to the summons and a copy of the petition to the summons and a copy of the summon a copy of the within on the defendant named (H)() delivering a copy to CT CORP, THE CORP. CO... other____ the registered agent of the defendant, by leaving ____(County/City of St. Louis), MOCORPY with B. Love, E. King Meethan,_ Must be sworn before a notary public if not served by an authorized of specific or Subscribed and sworn to before me on Printed Name of Sheriff or Server (Seal) My commission expires: _ JAN SAULER Sheriff's Fees Summons Non Est Sheriff's Deputy Salary Supplemental Surcharge (____ miles @ \$.____ per mile) Mileage Total A copy of the summons and a copy of the petition must be served on each Defendant/Respondent. For methods of service on all classes of suits, see Supreme Court Rule 54.



Mileage

suits, see Supreme Court Rule 54.

Total

IN THE 18TH JUDICIAL CIRCUIT COURT, PETTIS COUNTY, MISSOURI

Return 3000 Capy

Judge or Division: Case Number: 10PT-CC00238 ROBERT L. KOFFMAN Plaintiff/Petitioner: Plaintiff's/Petitioner's Attorney/Address ADAM WESLEY GRAVES STEPHEN KELLY 911 MAIN STREET P O BOX 220 LEXINGTON, MO 64067 Court Address: Defendant/Respondent: 415 S. Ohio **UTC FIRE & SECURITY AMERICAS** CORPORATION INC Sedalia, MO 65301 Nature of Suit: CC Pers Injury-Prod Liab

(Date File Stamp) **Summons in Civil Case** The State of Missouri to: UTC FIRE & SECURITY AMERICAS CORPORATION INC. CT CORPORATION SYSTEM 120 SOUTH CENTRAL AVENUE CLAYTON, MO 63105 COURT SEAL OF You are summoned to appear before this court and to file your pleading to the petition, a copy of which is attached, and to serve a copy of your pleading upon the attorney for Plaintiff/Petitioner at the above address all within 30 days after receiving this summons, exclusive of the day of service. If you fail to file your pleading, judgment by default may be taken grift Bround the teliet definited in the petition. <u>1)</u>610 Susan Saqier, Circuit Clerk PETTIS COUNTY Further Information: Sheriff's or Server's Return Note to serving officer: Summons should be returned to the court within thirty days after the date of issue. I certify that I have served the above summons by: (check one) delivering a copy of the summons and a copy of the petition to the Defendant/Respondent. leaving a copy of the summons and a copy of the petition at the dwelling place or usual abode of the Defendant/Respondent vity a person of the Defendant's/Respondent's family over the age of Notars. 1 5 2010 (for service on a corporation) delivering a copy of the summons and a copy of the petition to this date _ i hereby certify that on this date _ (name) St. Louis County, at 120 S. Central Ave. served a copy of the within on the defendant named by delivering a copy to the CORP. CO, other____ Served at (County/City of St. Louis), MO, on the registered agent of the defendant, difference copy with B. Love, E. King, Meehan, Printed Name of Sheriff or Server Signature of Sheriff or Server Must be sworn before a notary public if not served by an authorized all says.

Shearily, St. Louis Specification of the served by an authorized all says. Subscribed and sworn to before me on __ (date) (Seal) My commission expires: Sheriff's Fees Summons SUSAN SADLER Non Est CLERK CIRCUIT COURT Sheriff's Deputy Salary Supplemental Surcharge

(miles @ \$. per mile)

A copy of the summons and a copy of the petition must be served on each Defendant/Respondent. For methods of service on all classes of



	Case Number: 10PT-CC00238	
ROBERT L. KOFFMAN		
Plaintiff/Petitioner:	Plaintiff's/Petitioner's Attorney/Address	
STEPHEN KELLY	ADAM WESLEY GRAVES	
	911 MAIN STREET	
	P O BOX 220	
VS.	LEXINGTON, MO 64067 Court Address:	
Defendant/Respondent: UTC FIRE & SECURITY AMERICAS	415 S. Ohio	
CORPORATION INC	Sedalia, MO 65301	
Nature of Suit:	,	
CC Pers Injury-Prod Liab		(Date File Stamp)
	immons in Civil Case	
The State of Missouri to: UTC FIRE & SECURI	TY AMERICAS CORPORATION INC	
Alias: CT CORPORATION SYSTEM		
120 SOUTH CENTRAL AVENUE		
CLAYTON, MO 63105		
COURT SEAL OF You are summone	ed to appear before this court and to file your pleading to	the petition a conver
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above address all withi	in 30 days after receiving this summons, exclusive of the	day of service. If you fail to
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Judge or Division:		Case Number: 10PT-CC00238		
ROBERT L. KOFFMAN				
Plaintiff/Petitioner:		Plaintiff's/Petitioner's Attorney/Address		
STEPHEN KELLY		ADAM WESLEY GRAVES		
	l	911 MAIN STREET		
		P O BOX 220		
	vs.	LEXINGTON, MO 64067		
Defendant/Respondent:	ļ	Court Address:		
UTC FIRE & SECURITY A	MERICAS	415 S. Ohio		
CORPORATION INC		Sedalia, MO 65301		
Nature of Suit:			}	
CC Pers Injury-Prod Liab			(Date File	Stamp)
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The State of Missouri t	o: KIDDE-FENWAL INC	;		
CT CORPORATION	Alias:			
120 SOUTH CENTRAL AVENU	JE			
CLAYTON, MO 63105	· -			
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COURTOR	which is attached, and	to serve a copy of your pleading upon the att	torney for Plaintiff/Petitioner	at the
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	file your pleading, judg	gment by default may be taken against you fo	or the relief demanded in the p	etition.
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Judge or Division:		Case Number: 10PT-CC00238		
ROBERT L. KOFFMAN				
Plaintiff/Petitioner:		Plaintiff's/Petitioner's Attorney/A	ddress	
STEPHEN KELLY		ADAM WESLEY GRAVES		
STEITIEN REELT		911 MAIN STREET		
		P O BOX 220		
	vs.	LEXINGTON, MO 64067		
Defendant/Respondent:		Court Address:		
UTC FIRE & SECURITY A	AMERICAS	415 S. Ohio		
CORPORATION INC	INERCO IS	Sedalia, MO 65301		
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Nature of Suit: CC Pers Injury-Prod Liab			(Da	ta Fila Stamn)
CC Pers Injury-Prod Liab			(Da	te File Stamp)
		ummons in Civil Case		
The State of Missouri	to: UNDERWRITERS LA	ABORATORIES INC		
300-B EAST HIGH STREET	Alias:			
JEFFERSON CITY, MO 65101				
DEFERMINATION USTOI				
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		in 30 days after receiving this summon		
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IN THE CIRCUIT COURT OF PETTIS COUNTY, MISSOURI

stephen Kelly, Individually Surviving and as Administrator of the Estate of Nicole Ortner, deceased, and as Natural Father of Nicole Ortner, Deceased 2791 Oak Ridge Circle Sedalia, MO 65301 and CHARLOTTE KELLY, Individually Surviving and as Natural Mother of Nicole Ortner, Deceased) NOV 18 20 NOV
2791 Oak Ridge Circle)
Sedalia, MO 65301) Division: 4
Plaintiffs) Division. 4
1 IUIIUIIG)
VS.)
UTC Fire & Security Americas Corporation, Inc. Registered Agent: CT Corporation System 120 South Central Avenue Clayton, MO 63105 and KIDDE-FENWAL, INC. Registered Agent: CT Corporation System 120 South Central Avenue Clayton, MO 63105	Plaintiffs Demand Trial by Jury
and)
UNDERWRITERS LABORATORIES, INC.)
Registered Agent: National Registered Agents, Inc. 300-B East High Street Jefferson City, MO 65101 and	,)))
HAROLD PRESTON,)
State Route O	,)
P.O. Box 190)
Fulton, MO 65251	·)
Defendants.	·)

FIRST AMENDED PETITION

COME NOW Plaintiffs, Stephen and Charlotte Kelly, Individually Surviving, and as Natural Father and Mother of Nicole Ortner; and Stephen Kelly as Administrator of the Estate of Nicole Ortner, Deceased (hereinafter "Plaintiffs"), by and through their attorneys, Langdon &

Emison, and for their cause of action against defendants UTC Fire & Security Americas Corporation, Inc. Corporation (hereinafter "UTC"), Kidde-Fenwal, Inc. (hereinafter "Kidde"), Underwriters Laboratories, Inc, (hereinafter "UL") and Harold Preston, allege as follows:

JURISDICTION AND VENUE

- 1. On or about November 24, 2007, a fire started in the home occupied by Nicole Ortner and her two minor sons, Stephen and Nicholas Preston located at 2508 N. Woodlawn Dr., Sedalia, Pettis County, Missouri (hereinafter "home or house"). Nicole Ortner and her sons were all killed by the fire.
- 2. Plaintiffs are adults residing at the addresses in the caption, such addresses being located in the State of Missouri.
- 3. Plaintiffs Stephen and Charlotte Kelly are the natural father and mother of Nicole Ortner,.
- 4. Plaintiff Stephen Kelly is the Administrator of the Estate of Nicole Ortner, Deceased, which is styled as *In re:* the Estate of Kelly R. Ortner, Deceased, Case No. 08PT-PR00020.
- 5. Defendant Harold Preston is a resident of the State of Missouri and can be found at the address stated in the caption.
- 6. Defendant UTC Fire & Security Corporation is a corporation organized under the laws of Delaware. UTC does business in the state Missouri under its subsidy company, Kidde, a corporation organized under the laws of Delaware. Defendant UTC, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.

- 7. Defendant Kidde is a corporation organized under the laws of Delaware. Kidde is owned by its parent company UTC. Defendant Kidde, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.
- 8. Defendant Kidde and its parent company UTC design, manufacture, advertise, assemble, distribute, market and sell smoke detectors.
- 9. Upon information and belief, Defendant Kidde and UTC regularly conduct business in Pettis County, Missouri. Not by limitation, but by means of illustration, the Corporate Defendants' activities in Pettis County include:
 - a. Selling products;
 - b. Forming and performing contracts;
 - c. Servicing and maintaining;
 - d. Testing equipment;
 - e. Selling replacement parts;
 - f. Selling safety equipment;
 - g. Advertizing to Pettis County businesses and residents; and
 - h. Supplying and transporting products to Pettis County's businesses and residents.
- 10. Defendant UL is a not-for-profit corporation organized under the laws of Delaware. Defendant UL, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with National Registered Agents, Inc., 300-B East High Street, Jefferson City, MO 65101.

- 11. Defendant UL is a tester, designer, advertiser, distributor and marketer of smoke detectors.
- 12. By registering to conduct business in the State of Missouri, Defendants UTC and Kidde have consented to *in personam* jurisdiction in the State.
- 13. Because Defendants UTC, Kidde and UL have continually and systematically conducted business in the County of Pettis and the State of Missouri, this Court has general, *in personam* jurisdiction over Defendants.
- 14. Plaintiffs allege, *inter alia*, that Defendants UTC, Kidde and UL have committed a tort within the State of Missouri by designing, manufacturing, advertising, assembling, distributing, marketing and/or selling smoke detectors in a defective, unsafe and unreasonably dangerous.
- 15. This Court has long-arm jurisdiction over Defendants pursuant to § 506.500.1(1)-(3) R.S.Mo. (2010).
- 16. Because the injuries complained of herein first occurred in Pettis County, venue is proper pursuant to § 508.010.4 R.S. Mo. (2010).

FACTUAL ALLEGAIONS RELATING TO ALL CLAIMS

- 17. The averments of paragraphs 1 through 16 of this Petition are incorporated herein by reference.
- 18. On or about November 24, 2007, a fire started in the home occupied by Nicole Ortner and her two minor sons, Stephen and Nicholas Preston located at 2508 N. Woodlawn Dr., Sedalia, Missouri (hereinafter "home"). At said time and place, Nicole Ortner was asleep in the house and unaware of the fire.

- defendants, the smoke detectors were not reasonably fit, suitable or safe for their intended and represented purpose;
- c. Defendants failed to adequately test the subject smoke detectors
- d. Defendants failed to warn consumers of a known dangerous defect or condition in said smoke detectors, i.e. that said smoke detectors would only detect and/or timely detect certain types of residential fires and would not detect and/or timely detect certain types of fires known to commonly occur in residences.
- e. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the subject smoke detectors lacked adequate instructions on proper and safe use;
- f. Defendants concealed the known dangers associated with said smoke detectors; and
- g. Defendants failed to recall said smoke detectors, or alternatively, to warn consumers of dangers precipitated by using smoke detectors that contain only ionization detection mechanisms or devices.
- 27. As a proximate result and consequence of the aforesaid negligence of Defendants, Nicole Ortner died.
- 28. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful death.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

<u>COUNT II - STRICT LIABILITY</u> AGAINST DEFENDANTS KIDDE, UTC AND UL

29. The averments of paragraphs 1 through 28 of this Petition are incorporated herein

by reference.

- 30. The subject smoke detectors were designed, developed, manufactured, assembled, installed, distributed, marketed, sold and/or offered for sale as new products by Defendants Kidde, UTC and UL, and were in substantially the same condition as when manufactured, designed, advertised, marketed and distributed to the decedents.
- 31. The subject smoke detectors were supplied with only an ionization detection mechanism or device which defendants knew at the time of their manufacture, advertising, marketing and distribution for use by members of the general public in Missouri, including the decedents, would not detect and/or timely detect certain type fires, such as the fire which is the subject of this suit.
- 32. The subject smoke detectors were defective and unreasonably dangerous, in that the product contained no warnings and/or contained inadequate warnings as to the dangers and risks inherent in their use.
- 33. The subject smoke detectors were defective, unsafe and unreasonably dangerous for their foreseeable use at the time of their design, manufacture, advertising and distribution for use by members of the general public of Missouri, including the decedent, in that the said smoke detectors did not contain a dual or combination photoelectric and ionization mechanisms that would detect and/or timely detect all types of house fires, including the type which is the subject of this suit.
 - 34. As a proximate result and consequence of the defendants' actions, Nicole Ortner died.
- 35. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful

death.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

COUNT III - NEGLIGENCE AGAINST DEFENDANT HAROLD PRESTON

- 36. The averments of paragraphs 1 through 35 of this Petition are incorporated herein by reference.
- 37. Defendant Harold Preston installed double-keyed deadbolt locks on the front and rear doors of Nicole Ortner's home. Double-keyed locks require a key to release the lock from both inside and outside the door. The aforementioned doors were the only reasonable means of escape for the decedent.
- 38. By installing the locks, Defendant Harold Preston owed Plaintiffs and the decedent a duty to install locks that allowed for escape in the event of an emergency, and a duty to warn of the dangers associated with use of double-keyed locks, as such dangers were known or could have been known by Defendant.
- 39. Defendant breached his aforementioned duties and was careless, negligent, reckless, willful, and/or wanton in one or more of the following acts or omissions:
 - a. By installing locks that were not easily opened from the interior of the home;
 - b. By creating an unnecessary and dangerous obstacle to the decedent's egress from the home;
 - c. By failing to warn the decedent of the dangers inherent in using double-keyed locks on exterior doors;
 - d. By failing to provide or install an alternate means of escape from the home.

- 40. As a proximate result and consequence of the aforesaid negligence of Defendant, Nicole Ortner died.
- 41. The aforesaid fault of the Defendant directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful death.

WHEREFORE, Plaintiffs demand judgment against Defendant, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

COUNT IV – LOSS OF SERVICES, COMPANIONSHIP, COMFORT, INSTRUCTION, GUIDANCE, COUNSEL AND TRAINING AGAINST ALL DEFENDANTS

- 42. The averments of paragraphs 1 through 41 of this Petition are incorporated herein by reference.
- 43. As a direct and proximate result of one or more of the defects and/or negligent acts or omissions of defendants, Nicole Ortner suffered conscious pain and suffering prior to her death on November 24, 2007.
- 44. Nicole Ortner left surviving Plaintiffs as her heirs, who have suffered severe pecuniary loss, and loss of services, companionship, comfort, instruction, guidance, counsel, and training, as a direct result of the wrongful death of their daughter.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE

THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

LANGRON & EMISON

By:

Adam W. Graves MO #55190 MO #23233

Robert L. Langdon

LANGDON & EMISON

911 Main Street

PO Box 220

Lexington MO 64067

660/259-6175

660/259-4571 facsimile

agraves@langdonemison.com

ATTORNEYS FOR PLAINTIFF

Robert L. Langdon J. Kent Emison

Brett A. Emison Phyllis A. Norman Adam W. Graves David A. Brose Jessica M. Agnelly



Please reply to:
The Eagle Building
P.O. Box 220
911 Main Street
Lexington, MO 64067
Telephone: (660) 259-6175
Facsimile: (660) 259-4571
www.LangdonEmison.com

LANGDON AND EMISON

Attorneys at Law
November 16, 2010

Delivered via US Mail

Circuit Clerk
Pettis County Courthouse
2nd Floor
415 S. Ohio
Sedalia, Missouri 65301

Re:

Case Number: 10PT-CC00238

Stephen Kelly, et al v. UTC Fire & Security Americas Corporations, Inc., et al

Dear Clerk:

Enclosed for filing are the originals and multiple copies of the following documents:

- 1. Civil Filing Information Sheet (previously filed with original Petition This is a complete version outlining each Defendants' information);
- 2. Plaintiffs' First Amended Petition

Three Missouri defendants will be served by Sheriff, please issue a summons for each. I have enclosed the following law firm checks:

- Two separate checks to St. Louis City Sheriff for \$36.00 representing the service fee for Defendant UTC Fire and Security Americas Corporations, Inc., et al and KIDDE-FENWAL, INC.
- 2. One check to Cole County Sheriff for \$30.00 representing the service fee for Defendant Underwriters Laboratories, Inc.

Please return at least one file-stamped copy of the First Amended Petition back to our office. Please find a pre-paid envelope enclosed.

If you should require any additional information, please contact us at 1-800-397-4910.

Sincerely,

LANGDON & EMISON

Laura Mitchell, Paralegal

/lmm

Enclosures

DEFEO LAW FIRM, P.C.

CIVIL TRIAL PRACTICE

DANIEL T. DEFEO ANGELA G. CAHILL † ROBERT E. GORDON TALSO ADMITTED IN KANSAS

December 17, 2010

Ms. Susan Sadler Circuit Clerk 415 South Ohio Sedalia, MO 65301

In Re: Kelly v. UTC Fire & Security Americas Corporation, Inc, et al.

Case No. 10PT-CC0238

Dear Ms. Sadler:

Enclosed please find the original and one copy of Defendant's Answer, Affirmative Defenses and Cross-Claims of Defendant Harold Preston.

I would appreciate it if you would file this with the Court and return the file-stamped copies to me in the enclosed postage prepaid envelope.

Should you have any questions, please do not hesitate to contact me.

Sincerely,

Julie Whitaker Legal Assistant

Enclosure

IN THE CIRCUIT COURT OF		į (
STEPHEN KELLY, et. al,) NOV 1 5 2010	
Plaintiffs,))) CLERK CIRCUIT CO	R VURT
vs.	Ì	
UTC Fire & Security Americas Corporation Inc., et. al.,) Case No.: 10PT-CC0238	
Defendants.)	
))	

IN THE CIDCHIT COURT OF PETTIS COUNTY MISSAUDI

ENTRY OF APPEARANCE AND WAIVER OF SERVICE

COME NOW attorneys Daniel DeFeo, Angela Cahill, and Robert Gordon, of the DeFeo Law Firm, P.C., and enter their appearance on behalf of Defendant Harold Preston. Defendant acknowledges receipt of the Petition and waives formal service.

Respectfully submitted,

THE DEFEO LAW FIRM. P.C.

Daniel T. DeFeo

MO #35161

Angela Cahill

MO #52830

Robert E. Gordon

MO #61607

924 Main Street

Lexington, MO 64067

Telephone: (660)259-9806

Facsimile: (660)259-9809

ddefeo@defeolaw.com

acahill@defeolaw.com

rgordon@defeolaw.com

ATTORNEYS FOR DEFENDANT HAROLD PRESTON

CERTIFICATE OF MAILING

I hereby certify that a copy of the foregoing was sent via facsimile, e-mail or mailed, postage prepaid on this 11 day of November 2010 to:

Adam Graves 911 Main Street Lexington, MO 64067

UTC Fire & Security Americas Corporation, Inc. Registered Agent:
CT Corporation System
120 South Central Avenue
Clayton, MO 63105

Kidde-Fenwal, Inc. Registered Agent: CT Corporation System 120 South Central Avenue Clayton, MO 63105

Attorneys for Defendant Harold Preston



IN THE 18TH JUDICIAL CIRCUIT COURT, PETTIS COUNTY, MISSOURI

Judge or Division:		Case Number: 10PT-CC00238	8	
ROBERT L. KOFFMAN				
Plaintiff/Petitioner:		Plaintiff's/Petitioner's Attorney/	Address	
STEPHEN KELLY		ADAM WESLEY GRAVES		
		911 MAIN STREET		
		P O BOX 220		
	VS.	LEXINGTON, MO 64067		
Defendant/Respondent:		Court Address:		
UTC FIRE & SECURITY A	AMERICAS	415 S. Ohio		
CORPORATION INC		Sedalia, MO 65301		
Nature of Suit:				
CC Pers Injury-Prod Liab		<u> </u>		(Date File Stamp)
	Su	immons in Civil Case		
The State of Missouri t	o: UTC FIRE & SECURI	TY AMERICAS CORPORATION	INC	
CT CORPORATION SYSTEM 120 SOUTH CENTRAL AVENU CLAYTON, MO 63105	JE			
COURT SEAL OF		d to appear before this court and to to serve a copy of your pleading upo		
		n 30 days after receiving this summ		
	file your pleading, judg	ment by default may be taken again	nst you for the relief demand	led in the petition.
	11-08-10	gment by default may be taken again	an Sagier, Circuit	Clerk'
	Date		Clerk MacCi	MALPAIN
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		Sheriff's or Server's Return	V	
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	the above summons by: '(che	• •		
'	* :	petition to the Defendant/Respondent	<u>}</u>	
		tition at the dwelling place or usual at		dent with
		a person of the Defendant's/Resp	pondent's family over the age	
(for service on a corpor	ation) delivering a copy of the	ne summons and a copy of the petition	ı to	
		(name)		(title).
				
Served at				(address)
in	(County/City of	f St. Louis), MO, on	(date) at	(time).
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(Beat)				
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Summons	My commission expires:	Date	Notary Pu	blic
Summons Non Est	My commission expires:	Date	Notary Pu	blic
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Summons Non Est Sheriff's Deputy Salary Supplemental Surcharge	\$ \$ \$ 10.00		Notary Pu	blic
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Summons Non Est Sheriff's Deputy Salary Supplemental Surcharge Mileage Total	\$\$ \$10.00 \$ (\$ da a copy of the petition mus			

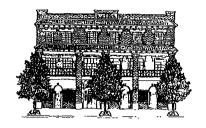


IN THE 18TH JUDICIAL CIRCUIT COURT, PETTIS COUNTY, MISSOURI

Judge or Division:		Case Number: 10PT-CC002	238	
ROBERT L. KOFFMAN				
Plaintiff/Petitioner:		Plaintiff's/Petitioner's Attorne	ey/Address	
STEPHEN KELLY		ADAM WESLEY GRAVES		
		911 MAIN STREET		
	vs.	P O BOX 220 LEXINGTON, MO 64067		
Defendant/Respondent:	¥ 3.	Court Address:		
UTC FIRE & SECURITY A	AMERICAS	415 S. Ohio		
CORPORATION INC		Sedalia, MO 65301		
Nature of Suit:				
CC Pers Injury-Prod Liab		<u> </u>		(Date File Stamp)
	Sı	ımmons in Civil Case		
The State of Missouri	to: KIDDE-FENWAL INC			
CT CORPORATION 120 SOUTH CENTRAL AVENT CLAYTON, MO 63105	UE			
COURT SEAL OF	You are summone	d to appear before this court and	to file your pleading to the	e petition, a copy of
COURTOR	which is attached, and	to serve a copy of your pleading t	upon the attorney for Plain	tiff/Petitioner at the
	above address all withi	n 30 days after receiving this sum gment by default may be taken ag	imons, exclusive of the day	of service. If you fail to
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(for service on a corpor	ration) delivering a copy of th	ne summons and a copy of the petiti	ion to	age of 15 years.
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other				(uuc).
01				•
				(address)
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Supplemental Surcharge	\$			
Mileage	\$(_	miles @ \$ per mile)		
Total	\$			
A copy of the summons an	d a copy of the netition mus	t be served on each Defendant/Res	spondent. For methods of se	ervice on all classes of

Robert L. Langdon J. Kent Emison

Brett A. Emison Phyllis A. Norman Adam W. Graves David A. Brose Jessica M. Agnelly



Please reply to: The Eagle Building P.O. Box 220 911 Main Street Lexington, MO 64067 Telephone: (660) 259-6175 Facsimile: (660) 259-4571 www. Langdon Emison. com

LANGDON AND EMISON

Attorneys at Law

November 4, 2010

VIA U.S. MAIL

Circuit Clerk Pettis County Courthouse 2nd Floor 415 S. Ohio Sedalia, Missouri 65301

> Re: New Case Filing: November 4, 2010 Stephen Kelly, et al v. UTC Fire & Security Americas Corporations, Inc., et al

Dear Clerk:

Per your request, I have enclosed a self-addressed stamped envelope for your use in sending the packets for service to the St. Louis County Sheriff in Clayton, Missouri. Per the Confidential Case Filing Information Sheet which was filed today along with the Complaint, the two entities to be served by the sheriff's department, UTC Fire & Security Americas Corporation, Inc. and Kidde-Fenwal, Inc., can both be served at CT Corporation in Clayton, MO.

If you should require any additional information or materials, please contact me at 660.259.6175. I thank you in advance for your help.

Regards,

LANGDON & EMISON

Laura Mitchell, *Paralegal*

Enclosures

(312) 595-1700

IN THE CIRCUIT COURT OF FET	IIS COUNTI, MIIBSOOKI
STEPHEN KELLY, Individually Surviving and	
as Administrator of the Estate of Nicole Ortner,) the stay of 2010 the
deceased, and as Natural Father of Nicole Ortner,	NOV 4 2010
Deceased	CIRCUIT COURT OF
2791 Oak Ridge Circle	PETTIS COUNTY, MO
Sedalia, MO 65301	1
) Case No.: 10 PT-CC 00238
and)
) Division: 🗸
CHARLOTTE KELLY, Individually Surviving and as) ' '
Natural Mother of Nicole Ortner, Deceased)
2791 Oak Ridge Circle)
Sedalia, MO 65301)
Plaintiffs)
1 families) Plaintiffs Demand Trial by Jury
VS.) Trainting Demand Trial by 3th y
73.)
UTC Fire & Security Americas Corporation, Inc.)
Registered Agent:	
CT Corporation System	,)
120 South Central Avenue)
Clayton, MO 63105)
and)
KIDDE-FENWAL, INC.)
Registered Agent:)
CT Corporation System)
120 South Central Avenue)
Clayton, MO 63105)
and)
HAROLD PRESTON,)
State Route O)
P.O. Box 190)
Fulton, MO 65251)
Defendants.)
)

PETITION

COME NOW Plaintiffs, Stephen and Charlotte Kelly, Individually Surviving, and as Natural Father and Mother of Nicole Ortner; and Stephen Kelly as Administrator of the Estate of Nicole Ortner, Deceased (hereinafter "Plaintiffs"), by and through their attorneys, Langdon & Emison, and for their cause of action against defendants UTC Fire & Security Americas

Corporation, Inc. Corporation (hereinafter "UTC"), Kidde-Fenwal, Inc. (hereinafter "Kidde"), and Harold Preston, allege as follows:

JURISDICTION AND VENUE

- 1. On or about November 24, 2007, a fire started in the home occupied by Nicole Ortner and her two minor sons, Stephen and Nicholas Preston located at 2508 N. Woodlawn Dr., Sedalia, Pettis County, Missouri (hereinafter "home or house"). Nicole Ortner and her sons were all killed by the fire.
- 2. Plaintiffs are adults residing at the addresses in the caption, such addresses being located in the State of Missouri.
- 3. Plaintiffs Stephen and Charlotte Kelly are the natural father and mother of Nicole Ortner.
- 4. Plaintiff Stephen Kelly is the Administrator of the Estate of Nicole Ortner, Deceased, which is styled as *In re:* the Estate of Kelly R. Ortner, Deceased, Case No. 08PT-PR00020.
- 5. Defendant Harold Preston is a resident of the State of Missouri and can be found at the address stated in the caption.
- 6. Defendant UTC Fire & Security Corporation is a corporation organized under the laws of Delaware. UTC does business in the state Missouri under its subsidy company, Kidde, a corporation organized under the laws of Delaware. Defendant UTC, at all times pertinent herein, has been authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.
- 7. Defendant Kidde is a corporation organized under the laws of Delaware. Kidde is owned by its parent company UTC. Defendant Kidde, at all times pertinent herein, has been

authorized to transact business in the State of Missouri and maintains a registered agent for service of process with CT Corporation System, 120 South Central Avenue, Clayton, MO 63105.

- 8. Defendant Kidde and its parent company UTC design, manufacture, advertise, assemble, distribute, market and sell smoke detectors.
- 9. Upon information and belief, Defendant Kidde and UTC regularly conduct business in Pettis County, Missouri. Not by limitation, but by means of illustration, the Corporate Defendants' activities in Pettis County include:
 - a. Selling products;
 - b. Forming and performing contracts;
 - c. Servicing and maintaining;
 - d. Testing equipment;
 - e. Selling replacement parts;
 - f. Selling safety equipment;
 - g. Advertizing to Pettis County businesses and residents; and
 - h. Supplying and transporting products to Pettis County's businesses and residents.
- 10. By registering to conduct business in the State of Missouri, Defendants UTC and Kidde have consented to *in personam* jurisdiction in the State.
- 11. Because Defendants UTC and Kidde have continually and systematically conducted business in the County of Pettis and the State of Missouri, this Court has general, *in personam* jurisdiction over Defendants.

- 12. Plaintiffs allege, *inter alia*, that Defendants UTC and Kidde have committed a tort within the State of Missouri by designing, manufacturing, advertising, assembling, distributing, marketing and/or selling smoke detectors in a defective, unsafe and unreasonably dangerous.
- 13. This Court has long-arm jurisdiction over Defendants pursuant to § 506.500.1(1)-(3) R.S.Mo. (2010).
- 14. Because the injuries complained of herein first occurred in Pettis County, venue is proper pursuant to § 508.010.4 R.S. Mo. (2010).

FACTUAL ALLEGAIONS RELATING TO ALL CLAIMS

- 15. The averments of paragraphs 1 through 14 of this Complaint are incorporated herein by reference.
- 16. On or about November 24, 2007, a fire started in the home occupied by Nicole Ortner and her two minor sons, Stephen and Nicholas Preston located at 2508 N. Woodlawn Dr., Sedalia, Missouri (hereinafter "home"). At said time and place, Nicole Ortner was asleep in the house and unaware of the fire.
- 17. Kidde smoke detectors were installed in the home, and each carried a model number of 0914. Said detectors were functional at the time and place of said fire.
- 18. Said smoke detectors were battery operated and each and every smoke detector had batteries that were charged and functional at the time and place of said fire.
- 19. The said smoke detectors were designed, developed, manufactured, assembled, installed, distributed, marketed, sold and/or offered for sale as new by Defendants Kidde and UTC and were in the substantially the same condition as when manufactured.
- 20. The subject smoke detectors were supplied with only an ionization detection mechanism or device.

<u>COUNT I - NEGLIGENCE</u> AGAINST DEFENDANTS KIDDE AND UTC

- 21. The averments of paragraphs 1 through 20 of this Petition are incorporated herein by reference.
- 22. Defendants UTC and Kidde owed Plaintiffs and the decedent a duty to design, manufacture, produce, distribute, market, furnish, and sell a reasonably safe smoke detector.
- 23. Defendants owed Plaintiffs and the decedent a duty to warn of the dangers conditions associated with use of smoke detectors supplied only with an ionization detection mechanism or device, as such dangers were known or could have been known by Defendants.
- 24. Defendants breached their aforementioned duties and were careless, negligent, reckless, willful, and/or wanton in one or more of the following acts or omissions, which also rendered the subject smoke detectors defective and unreasonably dangerous:
 - a. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the smoke detectors did not include a dual ionization and photoelectric device or mechanism;
 - b. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the smoke detectors were not reasonably fit, suitable or safe for their intended and represented purpose;
 - c. Defendants failed to adequately test the subject smoke detectors
 - d. Defendants failed to warn consumers of a known dangerous defect or condition in said smoke detectors i.e. that said smoke detectors would only detect and/or timely detect certain types of residential fires and would not detect and/or timely detect certain types of fires known to commonly occur in residences.
 - e. As designed, manufactured, marketed, sold, distributed, and supplied by defendants, the subject smoke detectors lacked adequate instructions on proper and safe use;
 - f. Defendants concealed the known dangers associated with said smoke detectors; and
 - g. Defendants failed to recall said smoke detectors, or alternatively, to warn consumers of dangers precipitated by using smoke detectors that contain

only ionization detection mechanisms or devices.

- 25. As a proximate result and consequence of the aforesaid negligence of Defendants, Nicole Ortner died.
- 26. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful death.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

COUNT II - STRICT LIABILITY AGAINST DEFENDANTS KIDDE AND UTC

- 27. The averments of paragraphs 1 through 26 of this Petition are incorporated herein by reference.
- 28. The subject smoke detectors were designed, developed, manufactured, assembled, installed, distributed, marketed, sold and/or offered for sale as new products by Defendants Kidde and UTC, and were in substantially the same condition as when manufactured, designed, advertised, marketed and distributed to the decedents.
- 29. The subject smoke detectors were supplied with only an ionization detection mechanism or device which defendants knew at the time of their manufacture, advertising, marketing and distribution for use by members of the general public in Missouri, including the decedents, would not detect and/or timely detect certain type fires, such as the fire which is the

subject of this suit.

- 30. The subject smoke detectors were defective and unreasonably dangerous, in that the product contained no warnings and/or contained inadequate warnings as to the dangers and risks inherent in their use.
- 31. The subject smoke detectors were defective, unsafe and unreasonably dangerous for their foreseeable use at the time of their design, manufacture, advertising and distribution for use by members of the general public of Missouri, including the decedent, in that the said smoke detectors did not contain a dual or combination photoelectric and ionization mechanisms that would detect and/or timely detect all types of house fires, including the type which is the subject of this suit.
 - 32. As a proximate result and consequence of the defendants' actions, Nicole Ortner died.
- 33. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful death.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

<u>COUNT III - NEGLIGENCE</u> AGAINST DEFENDANT HAROLD PRESTON

- 34. The averments of paragraphs 1 through 33 of this Petition are incorporated herein by reference.
 - 35. Defendant Harold Preston installed double-keyed deadbolt locks on the front and rear

doors of Nicole Ortner's home. Double-keyed locks require a key to release the lock from both inside and outside the door. The aforementioned doors were the only means of escape for the decedent.

- 36. By installing the locks, Defendant Harold Preston owed Plaintiffs and the decedent a duty to install locks that allowed for escape in the event of an emergency, and a duty to warn of the dangers associated with use of double-keyed locks, as such dangers were known or could have been known by Defendant.
- 37. Defendant breached his aforementioned duties and was careless, negligent, reckless, willful, and/or wanton in one or more of the following acts or omissions:
 - a. By installing locks that were not easily opened from the interior of the home;
 - b. By creating an unnecessary and dangerous obstacle to the decedent's egress from the home;
 - c. By failing to warn the decedent of the dangers inherent in using double-keyed locks on exterior doors;
 - d. By failing to provide or install an alternate means of escape from the home.
- 38. As a proximate result and consequence of the aforesaid negligence of Defendant, Nicole Ortner died.
- 39. The aforesaid fault of the Defendants directly caused, directly contributed to cause, and/or combined to directly cause physically and emotionally painful and horrific burn injuries to Nicole Ortner, including her impending fear of death, and her eventual wrongful death.

WHEREFORE, Plaintiffs demand judgment against Defendant, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a

trial by jury on all issues so triable as a matter of right.

COUNT IV – LOSS OF SERVICES, COMPANIONSHIP, COMFORT, INSTRUCTION, GUIDANCE, COUNSEL AND TRAINING AGAINST ALL DEFENDANTS

- 40. The averments of paragraphs 1 through 39 of this Petition are incorporated herein by reference.
- 41. As a direct and proximate result of one or more of the defects and/or negligent acts or omissions of defendants, Nicole Ortner suffered conscious pain and suffering prior to her death on November 24, 2007.
- 42. Nicole Ortner left surviving Plaintiffs as her heirs, who has suffered severe pecuniary loss, and loss of services, companionship, comfort, instruction, guidance, counsel, and training, as a direct result of the wrongful death of their daughter.

WHEREFORE, Plaintiffs demand judgment against defendants, jointly and severally, for compensatory damages and costs of this action, in an amount in excess of TWENTY-FIVE THOUSAND DOLLARS (\$25,000.00) and for such other and further relief as the Court deems just; and for a trial by jury on all issues so triable as a matter of right.

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